

**Form No. IVA**  
**Appellate Response**  
Regulation 5.9(h)

(To be used for appeal cases related to listed companies only)

**1. Arbitration Matter No.** \_\_\_\_\_

**Appellant** \_\_\_\_\_

(Name of party filing appeal)

**Vs**

**Respondent** \_\_\_\_\_

(Name of party against whom appeal is filed)

**2. List of documents / copy enclosed with the defense of appeal**

Tick the documents enclosed along with the application

☐  
☐  
☐

Statement of defense to the appeal

List of documents to support defense statement

Other, please specify \_\_\_\_\_

Please note that the soft copies of the documents mentioned above has to be submitted along with submission of the physical copy.

**3. Choice of arbitrators: (Please refer the note below)**

- i. \_\_\_\_\_
- ii. \_\_\_\_\_
- iii. \_\_\_\_\_
- iv. \_\_\_\_\_
- v. \_\_\_\_\_

**Note:**

- a. The list of Arbitrators is available on the Exchange website at Home>Domestic Investor>Arbitration>About Arbitration. Please select the arbitrators from the regional list of arbitrators where the appeal is filed.
- b. A minimum of five names which are different from the ones who passed the original arbitral award appealed against are required to be provided.
- c. Form IVA along with the documents should be submitted in sets of five
- d. Arbitrator appointment is done through a computerized automated process wherein neither the Exchange nor the parties are directly involved.

4. (a) I will personally represent the case.

(b) I would represent the case along with my representative \_\_\_\_\_ in his/her capacity as \_\_\_\_\_.

(c) On my behalf \_\_\_\_\_ will represent in his/her capacity as \_\_\_\_\_.

Note- Investor may attend the proceedings and defend the matter on his own or appoint Authorized Representative to defend the matter by executing a notarized Power of Attorney. In case investor has appointed a Lawyer/Advocate to represent his case, then a duly signed Vakalatnama will be required.

Place\*: \_\_\_\_\_

Date\*: \_\_\_\_\_

\*Mandatory

\_\_\_\_\_  
Respondent's Signature\*

### CHECKLIST

While submitting the Form IVA the following things need to be mandatorily provided

1	The documents have been submitted in sets of 5	
2	Statement of defense to the appeal is attached	
3	List of 5 Arbitrators is provided	
4	Form IVA is dated and signed	

Please note that the soft copies of the documents mentioned above has to be submitted along with submission of the physical copy.

**Note:** In case the details of the form are not filled as required, the same might be considered as deficiency.